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PTO/SB/21 - MODIFIED
09/2004

TRANSMITTAL FORM

to be used for all correspondence after initial filing

Application Number	09/737,743
Filing Date	12/18/2000
First Named Inventor	Sehat SUTARDJA
Group Art Unit	2631
Examiner Name	Phuong M. Phu
Attorney Docket Number	MP0020

Total number of pages in this submission including transmittal 35

ENCLOSURES (check all that apply)

- | | | |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form
<input checked="" type="checkbox"/> Fee Attached - Credit Authorization
<input type="checkbox"/> Amendment / Reply
<input type="checkbox"/> After Final
<input type="checkbox"/> Affidavits/declaration(s)
<input type="checkbox"/> Extension of Time Request
<input type="checkbox"/> Express Abandonment Request
<input checked="" type="checkbox"/> Information Disclosure Statement
with 27 PTO 1449 Forms and cited references
<input type="checkbox"/> Certified Copy of Priority
Document(s)
<input type="checkbox"/> Response to Missing Parts/
Incomplete Application
<input type="checkbox"/> Response to Missing Parts
under 37 CFR 1.52 or 1.53 | <input type="checkbox"/> Assignment Papers
(for an Application)
<input type="checkbox"/> Drawing(s)
<input type="checkbox"/> Licensing-related Papers
<input type="checkbox"/> Petition
<input type="checkbox"/> Petition to Convert to a
Provisional Application
<input type="checkbox"/> Power of Attorney, Revocation
Change of Correspondence
Address
<input type="checkbox"/> Terminal Disclaimer
<input type="checkbox"/> Request for Refund
<input type="checkbox"/> CD, Number of CD(s) _____
<input type="checkbox"/> Landscape Table on CD | <input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Appeal Communication to Board
of Appeals and Interferences
<input type="checkbox"/> Appeal Communication to Group
(Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Status Letter
<input checked="" type="checkbox"/> Other Enclosure(s) (identify below):

Petition to Withdraw from Issue,
RCE Transmittal and
Communication Pursuant to 37 CFR
1.56 and MPEP 2001.06(c) |
|--|---|--|

REMARKS

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	KATTEN MUCHIN ROSENMAN, LLP		
Signature			
Printed Name	Andrew J. Bateman		
Reg. No.	45,573	Date:	07/22/2005

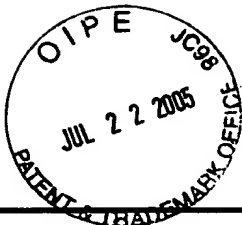
CERTIFICATE OF FACSIMILE TRANSMISSION OR MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO via fax no. (703) 872-9306 or is being deposited with the US Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:

Signature

Typed or Printed Name

Date:



FEE TRANSMITTAL for FY 2005

Effective 10/01/2005. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$)

Complete if Known

Application Number	09/737,743
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First Named Inventor	Sehat SUTARDJA
Examiner Name	Phuong M. Phu
Art Unit	2631
Attorney Docket No.	MP0020

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None☐ Deposit Account:

Deposit Account

50-1710

Account Name

KATTEN MUCHIN ROSENMAN, LLP

The Director is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments☒ Charge above Deposit Account with any additional fees necessary UNDER 37 CFR 1.16 AND/OR 1.17 to maintain pendency of this application.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Description	Fee Paid
Fee Code (\$)	Fee Code (\$)		
1001 300	2001 150	Utility Filing Fee	
1111 500	2111 250	Utility Search Fee	
1311 200	2311 100	Patent Examination Fee	
SUBTOTAL (1)			(\$ 0.00)

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims	Extra Claims	Fee	Fee Paid
	- 20** =	50.00	
Independent	- 3** =	200.00	
Multiple Dependent		360.00	

Large Entity	Small Entity	Description
Fee Code (\$)	Fee Code (\$)	
1202 50	2202 25	Claims in excess of 20
1201 200	2201 100	Independent claims in excess of 3
1203 360	2203 180	Multiple dependent claim, if not paid
1204 200	2204 100	** Reissue independent claims over original patent
1205 50	2205 25	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 0.00)

** or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	\$	Fee Code	\$	Description	Fee Paid
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1,840*	1805	1,840*	Requesting publication of SIR after Examiner action	
1251	120	2251	60	Extension for reply within first month	
1252	450	2252	225	Extension for reply within second month	
1253	1,020	2253	510	Extension for reply within third month	
1254	1,590	2254	795	Extension for reply within fourth month	
1255	2,160	2255	1,080	Extension for reply within fifth month	
1401	500	2401	250	Notice of Appeal	
1402	500	2402	250	Filing a brief in support of an appeal	
1403	1,000	2403	500	Request for oral hearing	
1451	1,510	1451	1,510	Petition to institute a public use proceeding	
1452	500	2452	250	Petition to revive - unavoidable (1.17(l))	
1453	1,500	2453	750	Petition to revive - unintentional (1.17(m))	
1501	1,400	2501	700	Utility issue fee (or reissue)	
1502	800	2502	400	Design issue fee	
1503	1,100	2503	550	Plant issue fee	
1460	130	1460	130	Petitions to the Director	
1807	50	1807	50	Processing fee - provisional app (1.17(q))	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	790	2809	395	Filing a submission after final rejection (1.129(a))	
1814	130	2814	65	Statutory Disclaimer	
1801	790	2801	395	Request for Continued Examination (RCE)	790.00
1802	900	1802	900	Req for expedited examination - Design App	
Other fee (specify)					
SUBTOTAL (3)					(\$ 790.00)

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name: Andrew J. Bateman

Registration No.: 45,573

Telephone: (202) 625-3547

Signature: Andrew J. Bateman

Date: 07/22/2005



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)
Sehat SUTARDJA, et al.) Examiner: Phuong M. Phu
Application No.: 09/737,743) Group Art Unit: 2631
Filed: December 18, 2000) Confirmation No.: 1406
For: ACTIVE REPLICA TRANSFORMER)
HYBRID) Date: July 22, 2005

COMMUNICATION PURSUANT TO 37 C.F.R. 1.56 AND
M.P.E.P. § 2001.06(c)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In compliance with the requirements of 37 C.F.R. 1.56 and M.P.E.P. § 2001.06(c), the Applicant hereby notifies the Patent Office that the subject matter of the above-identified application may be involved in a litigation in the United States International Trade Commission, Investigation No. 337-TA-531.

Should the Examiner have any questions regarding this communication or the application in general, the Examiner is urged to contact the Applicant's attorney, Andrew J. Bateman, by telephone at (202) 625-3547. All correspondence should continue to be directed to the address given below.

Respectfully submitted,

By: Andrew J. Bateman
Andrew J. Bateman
Attorney for Applicant
Registration No. 45,573

IP Docket
Katten Muchin Rosenman LLP
1025 Thomas Jefferson St., NW
East Lobby, Suite 700
Washington, DC 20007-5201
Facsimile No.: (202) 298-7570
Customer No.: 28285